



Express Mail No.: EL 452 481 286 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Bravo Vasquez and Hill

Serial No.: 09/876,944

Group Art Unit: To be assigned

Filed: June 8, 2001

Examiner: To be assigned

For: METHOD FOR THE DEPOSITION
OF MATERIALS FROM
MESOMORPHOUS FILMS

Attorney Docket No.: 8317-120-999

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION**

BOX: Missing Parts

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed by the U.S. Patent and Trademark Office on August 6, 2001, Applicants submit the following documents to complete the filing for the above-identified application:

1. A Declaration for Patent Application signed by the inventors;
2. Part 2 - Notice to File Missing Parts of Nonprovisional Application; and
3. Preliminary Amendment.

The U.S. Patent and Trademark Office is hereby authorized to charge the following fees to Pennie & Edmonds LLP Deposit Account No. 16-1150:

- | | |
|--|------------------|
| 1. Surcharge fee for filing declaration on a date later than the filing date of the application. | <u>\$ 130.00</u> |
| TOTAL | \$ 130.00 |

The Commissioner is hereby authorized to charge any additional fees associated with this paper communication or credit any overpayment to Pennie & Edmonds LLP Deposit Account No. 16-1150.

The documents submitted complete the filing of the above patent application and justify the filing date of June 8, 2001. A copy of this sheet is enclosed for accounting purposes.

Respectfully submitted,

Date: September 7, 2001

Richard G. A. Bone
Richard G. A. Bone, Ph.D.
Limited Recognition Under 37 C.F.R. § 10.9(b)
(Copy of Certificate Enclosed)

For: Victor N. Balancia (Reg. No. 31,231)
PENNIE & EDMONDS LLP
1155 Avenue of the Americas
New York, New York 10036
(212) 790 - 9090

Enclosures

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/876,944	06/08/2001	Juan Pablo Bravo Vasquez	8317-120-999

24341
Pennie & Edmonds, LLP
3300 Hillview Avenue
Palo Alto, CA 94304



CONFIRMATION NO. 9071

FORMALITIES LETTER



OC000000006385758

Date Mailed: 08/06/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/13/2001 BNGUYEN1 00000082 161150 09876944

01 FC:105 130.00 CH



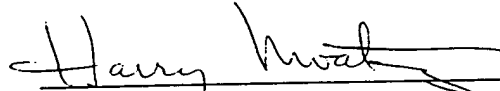
**BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE
UNITED STATE PATENT AND TRADEMARK OFFICE**

LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)

Dr. Richard G. A. Bone is hereby given limited recognition under 37 CFR § 10.9(b) as an employee of Pennie & Edmonds, LLP to prepare and prosecute patent applications wherein the patent applicant is the client of Pennie & Edmonds, LLP, and the attorney or agent of record in the applications is a registered practitioner who is a member of Pennie & Edmonds, LLP. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Dr. Richard G. A. Bone ceases to lawfully reside in the United States, (ii) Dr. Richard G. A. Bone employment with Pennie & Edmonds, LLP ceases or is terminated, or (iii) Dr. Richard G. A. Bone ceases to remain or reside in the United States on an H-1B visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

Expires: November 29, 2001


Harry Moatz, Director
Office of Enrollment and Discipline